

COPY OF PAPERS  
ORIGINALLY FILED

Docket No.: NHL-NP-36  
Serial No.: 10/041,672

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXAMINER: (NOT YET RECEIVED)  
ART UNIT: 1642  
SERIAL NO.: 10/041,672  
FILING DATE: January 8, 2002  
INVENTORS: Hans LUTZ, Christian LEUTENEGGER, Nils  
PEDERSEN, Matthias SCHROFF, and Burghardt  
WITTIG  
TITLE: FELINE INTERLEUKIN-12 AS IMMUNOSTIMULANT

Greensburg, Pennsylvania 15601

Assistant Commissioner for Patents  
Washington, D.C. 20231

September 5, 2002

TRANSMITTAL LETTER

Sir:

Please find enclosed herewith the following documents relating to the above-cited case:

- 1) a Response to Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures;
- 2) a copy of the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures;
- 3) a diskette containing a substitute copy of the "Sequence Listing" in computer readable form;
- 4) a paper copy of the Sequence Listing identical to that in computer readable form;
- 5) a Statement in Accordance with 37 C.F.R. §1.821(f); and
- 6) a stamped, self-addressed postcard, return of which is requested to acknowledge receipt of the enclosed documents.

TRANSMITTAL LETTER  
Page 2

It is believed that no further fee is required to file the enclosed document.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on September 5, 2002.

Respectfully submitted,



Nils H. Ljungman, Esq.  
Attorney for the Applicant  
Reg. No. 25,997  
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Signature

Marilyn Bidzila  
Name of person mailing paper or fee

September 5, 2002  
Date



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Greensburg, Pennsylvania 15601

Assistant Commissioner for Patents  
Washington, D.C. 20231

September 5, 2002

RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR  
PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE  
AND/OR AMINO ACID SEQUENCE DISCLOSURES

Sir:

The Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, mailed on July 9, 2002, a copy of which is enclosed herewith, requires that a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing be submitted by September 9, 2002, which is two months from the mailing date of the Notice to File Missing Parts of Nonprovisional Application, relating to the above-cited patent application.

The sequence listing information recorded in computer readable form is identical to the written paper copy of the sequence listing submitted herewith.

As requested by the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, all required documents are enclosed herewith.



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Serial No.: 10/041,672

If mailed, I, the person signing this certification below, hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated in the certification of mailing on the transmittal letter sent herewith, or if facsimile transmitted, I, the person signing this certification below, hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark Office on the date indicated in the certification of facsimile transmission on the transmittal letter which is being facsimile transmitted herewith.

Respectfully submitted,

Nils H. Ljungman, Esq.  
Attorney for the Applicant  
Reg. No. 25,997  
Name of person signing certification  
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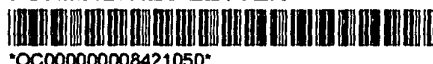
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/041,672	01/08/2002	Hans Lutz	NHL-NP-36

NILS H. LJUNGMAN  
NILS H. LJUNGMAN & ASSOCIATES  
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CONFIRMATION NO. 2980

FORMALITIES LETTER



\*OC00000008421050\*

Date Mailed: 07/09/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE